



H-A

PATENT

155 SECTOR
HFS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Simon H. Corston-Oliver et al.

Appln. No.: 09/770,779

Filed : January 26, 2001

For : LINGUSTICALLY INTELLIGENT
TEXTUAL COMPRESSION

Docket No.: M61.12-0682

Group Art Unit:2641

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED
UNDER 37 C.F.R. §§ 1.53(f) AND 1.16(e)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, D.C. 20231, THIS

4th DAY OF May 2001

Joseph R. Kelly
PATENT ATTORNEY

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated March 29, 2001, Applicant encloses the following documents to complete the above-identified patent application filed January 26, 2001:

1. Our check in the amount of \$1020 and \$40.00 (to cover the filing fee \$890 and surcharge fee of \$130 and Assignment Recordation Fee).
2. Combined Declaration and Power of Attorney executed by the inventor(s).
3. Fee Calculation Sheet (duplicate).
4. Notice to File Missing Parts of Application-Filing Date Granted.
5. Executed Assignment

6. Assignment Recordation Cover Sheet

The Director is authorized to charge payment of any additional fees associated with this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
WESTMAN, CHAMPLIN & KELLY, P.A.

By:



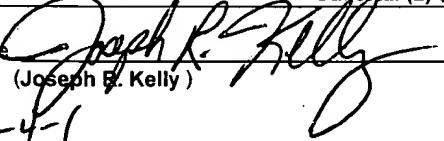
Joseph R. Kelly, Reg. No. 34,847
Suite 1600 - International Centre
900 Second Avenue South
Minneapolis, Minnesota 55402-3319
Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg

FEE TRANSMITTAL



Complete if Known																																																																																																																																																					
Application No.		09/770,779																																																																																																																																																			
Filing Date		January 26, 2001																																																																																																																																																			
First Named Inventor		Simon H. Corston-Oliver																																																																																																																																																			
Title		LINGUSTICALLY INTELLIGENT TEXT COMPRESSION																																																																																																																																																			
Group Art Unit		2641																																																																																																																																																			
Examiner Name																																																																																																																																																					
Total Amount of Payment \$ 1060		Atty. Docket Number M61.12-0682																																																																																																																																																			
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																																																																			
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th colspan="3">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,270</td> <td>242</td> <td>650</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>470</td> <td>243</td> <td>250</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td colspan="5">Other Fee (specify) _____</td> <td></td> </tr> <tr> <td colspan="2"></td> <td colspan="4">Subtotal (3) \$ 170</td> </tr> </tbody> </table>				Large Entity Fee	Small Entity Fee	Fee Description			Fee Paid	Code	(\$)	Code	(\$)			105	130	205	65	Surcharge - Late filing fee or oath	130	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For Filing a Request for Reexamination		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	280	945	Extension for reply within fifth month		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		148	110	248	55	Terminal Disclaimer Fee		140	110	240	55	Petition to Revive - unavoidable		141	1,240	241	620	Petition to Revive - unintentional		142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)		143	470	243	250	Design issue fee (inc. advance copies)		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Statement		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	Other Fee (specify) _____								Subtotal (3) \$ 170			
Large Entity Fee	Small Entity Fee	Fee Description			Fee Paid																																																																																																																																																
Code	(\$)	Code	(\$)																																																																																																																																																		
105	130	205	65	Surcharge - Late filing fee or oath	130																																																																																																																																																
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																	
147	2,520	147	2,520	For Filing a Request for Reexamination																																																																																																																																																	
115	110	215	55	Extension for reply within first month																																																																																																																																																	
116	390	216	195	Extension for reply within second month																																																																																																																																																	
117	890	217	445	Extension for reply within third month																																																																																																																																																	
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																	
128	1,890	280	945	Extension for reply within fifth month																																																																																																																																																	
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																	
121	270	221	135	Request for oral hearing																																																																																																																																																	
148	110	248	55	Terminal Disclaimer Fee																																																																																																																																																	
140	110	240	55	Petition to Revive - unavoidable																																																																																																																																																	
141	1,240	241	620	Petition to Revive - unintentional																																																																																																																																																	
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)																																																																																																																																																	
143	470	243	250	Design issue fee (inc. advance copies)																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																	
123	50	123	50	Petitions related to provisional applications																																																																																																																																																	
126	180	126	180	Submission of Information Disclosure Statement																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																
Other Fee (specify) _____																																																																																																																																																					
		Subtotal (3) \$ 170																																																																																																																																																			
2. <input checked="" type="checkbox"/> Check Enclosed																																																																																																																																																					
FEE CALCULATION																																																																																																																																																					
1. BASIC FILING FEE																																																																																																																																																					
<table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th colspan="3">Fee Description</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td><input checked="" type="checkbox"/> Utility Filing Fee</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td><input type="checkbox"/> Design Filing Fee</td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td><input type="checkbox"/> Reissue Filing Fee</td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td><input type="checkbox"/> Prov. Filing Fee</td> </tr> <tr> <td colspan="5">Subtotal (1) \$ 710</td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Fee Description			Code	(\$)	Code	(\$)		101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee	106	320	206	160	<input type="checkbox"/> Design Filing Fee	108	710	208	355	<input type="checkbox"/> Reissue Filing Fee	114	150	214	75	<input type="checkbox"/> Prov. Filing Fee	Subtotal (1) \$ 710																																																																																																																					
Large Entity Fee	Small Entity Fee	Fee Description																																																																																																																																																			
Code	(\$)	Code	(\$)																																																																																																																																																		
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																																																																	
106	320	206	160	<input type="checkbox"/> Design Filing Fee																																																																																																																																																	
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee																																																																																																																																																	
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee																																																																																																																																																	
Subtotal (1) \$ 710																																																																																																																																																					
2. EXTRA CLAIM FEES																																																																																																																																																					
<table border="1"> <thead> <tr> <th>Number Claims</th> <th>Prior**</th> <th>Extra</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>30</td> <td>20</td> <td>10</td> <td>18 180</td> </tr> <tr> <td>Indep.</td> <td>3</td> <td>3</td> <td>0</td> <td>80 0</td> </tr> </tbody> </table>		Number Claims	Prior**	Extra	Fee from Below	Fee Paid	Total	30	20	10	18 180	Indep.	3	3	0	80 0																																																																																																																																					
Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																																																																																	
Total	30	20	10	18 180																																																																																																																																																	
Indep.	3	3	0	80 0																																																																																																																																																	
Multiple Dependent Claims																																																																																																																																																					
** Insert 3 and 20, or number previously paid if greater; Reissue see below																																																																																																																																																					
<table border="1"> <thead> <tr> <th>Large Entity Fee</th> <th>Small Entity Fee</th> <th colspan="3">Description</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th></th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>270</td> <td>204</td> <td>135</td> <td>Multiple Dependent Claims</td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td>Reissue Independent Claims over Original Patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5">Subtotal (2) \$ 180</td> <td colspan="2">Subtotal (3) \$ 170</td> </tr> </tbody> </table>		Large Entity Fee	Small Entity Fee	Description			Code	(\$)	Code	(\$)		103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple Dependent Claims	109	80	209	40	Reissue Independent Claims over Original Patent	110	18	210	9	Reissue claims in excess of 20 and over original patent	Subtotal (2) \$ 180					Subtotal (3) \$ 170																																																																																																											
Large Entity Fee	Small Entity Fee	Description																																																																																																																																																			
Code	(\$)	Code	(\$)																																																																																																																																																		
103	18	203	9	Claims in excess of 20																																																																																																																																																	
102	80	202	40	Independent claims in excess of 3																																																																																																																																																	
104	270	204	135	Multiple Dependent Claims																																																																																																																																																	
109	80	209	40	Reissue Independent Claims over Original Patent																																																																																																																																																	
110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																	
Subtotal (2) \$ 180					Subtotal (3) \$ 170																																																																																																																																																

Signature 
(Joseph R. Kelly)

Reg. No. 34,847

Date 5-4-1

Deposit Account No. 23-1123



O I P E
MAY 07 2001

RECEIVED
MAR 16 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/770,779	01/26/2001	Simon H. Corston-Oliver	M61.12-0682

CONFIRMATION NO. 7875

FORMALITIES LETTER



OC000000005842923

Joseph R. Kelly
WESTMAN CHAMPLIN & KELLY
International Centre - Suite 1600
900 South Second Avenue
Minneapolis, MN 55402-3319

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1020.**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/10/2001 MBERHE 00000017 09770779

01 FC:101	710.00 0P
02 FC:105	130.00 0P
03 FC:103	180.00 0P